

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Harriet L. Robinson

Serial No.: 07/855,562

Filed: March 23, 1992

Title: IMMUNIZATION BY INNOCULATION OF DNA

TRANSCRIPTIONAL UNIT

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mall in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 5-19-90

Hamilton, Brook, Smith & Reynolds, P.C.

Transmittal of Declaration/Power of Attorney

The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Transmitted herewith is an executed Declaration/Power of Attorney document for filing in the above-referenced case. Enclosed is a check in the amount of \$60.00 for the surcharge for filing the Declaration/Power of Attorney document later than the filing date of the application.

080 KJ 05/29/92 07855562

1 205

60.00 CK

A copy of the Verified Statement claiming small entity is being filed concurrent with this response and is also enclosed.

Please charge any additional fees due in this matter to Applicant's Attorney's Deposit Account No. 08-0380. Two copies of this letter are enclosed for accounting purposes.

Respectfully submitted,

Patricia Granalian

Patricia Granahan

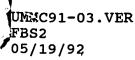
Registration No. 32,227

Attorney for Applicant

(617) 861-6240

Dated: May 19, 1992

Lexington, MA 02173





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Hamilton, Brook, Smith & Reynolds, P.C.

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Transmittal of Verified Statement
and Request for Reimbursement

The Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicant's Attorney submits herewith for filing in the above-identified Patent Application a Verified Statement Claiming Small Entity Status - Nonprofit Organization. In view of the filing of the Verified Statement, Applicant's Attorney hereby requests a refund of a \$345.00, one-half of the original filing fees (\$690.00) to be credited to Deposit Account No. 08-0380. Two duplicate copies of the transmittal letter are enclosed for accounting purposes.

Respectfully submitted,

Patricia Granaham

Patricia Granahan

Registration No. 32,227

Attorney for Applicant

(617) 861-6240

Dated: Way 19, 992-Lexington, MA 02173

Appl	icant or Patentee Harriet L. Robinson	Attorney's
	al or Patent No.: 07/855,562	Docket No.: UMMC91-03
	Issued: March 23, 1992	
FOX:	Immunization By Inoculation of DNA Tran	nscriptional Unit
3 1	26 £	
13	1997 VER FIED STATEMENT (DECLARATION) CLAIMING SM (37 CFR 1.9(f) and 1.27(d) - NONPROFIT	ORGANIZATION
I he	reby declare that I am an official empowered to	act on behalf of the
_	rofit organization identified below:	
	OF ORGANIZATION University of Massachusetts Medi	ical Center
ADDR	ESS OF ORGANIZATION 55 Lake Street, North	
	Worcester, Massachusetts	
TYPE	OF ORGANIZATION	<i>X</i>
[xx]	UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCA	ATION
[ ]	TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE	
	(26 USC 501(a) and 501(c)(3))	
[ ]	NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATE	JTE OF
	STATE OF THE UNITED STATES OF AMERICA	
•	(NAME OF STATE	_)
	(CITATION OF STATUTE	)
[]	WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVI	ENUE SERVICE CODE
	(26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE	UNITED STATES OF
AMER	ICA	
[ ]	WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCAT	TIONAL UNDER STATUTE OF
	STATE OF THE UNITED STATES OF AMERICA IF LOCATI	ED IN THE UNITED STATES
	OF AMERICA	
	(NAME OF STATE	)
	(CITATION OF STATUTE	)
I he	reby declare that the nonprofit organization ide	entified above qualifies
as a	nonprofit organization as defined in 37 CFR 1.9	e(e) for purposes of
payi	ng reduced fees under section 41(a) and (b) of 1	Title 35, United States
Code	with regard to the invention entitled	
		by inventor(s)
		described in
[ ]	the specification filed herewith	
[ ]	application serial no, filed	·.
[ ]	patent no, issued	
	reby declare that rights under contract or law h	

7.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor

under 37 CFR 1.9(C) II that person made the invention, or by any concern
which would not qualify as a small business concern under 37 CFR 1.9(d)
or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate
verified statements are required from each named person, concern or
organization having rights to the invention averring to their status as
small entities. (37 CFR 1.27)
NAME
ADDRESS
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION
NAME
ADDRESS
[ ] INDIVIDUAL [ ] SMALL BUSINESS CONCERN [ ] NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notifica-
tion of any change in status resulting in loss of entitlement to small
entity status prior to paying, or at the time of paying, the earliest of
the issue fee or any maintenance fee due after the date on which status
as a small entity is no longer appropriate. (37 CFR 1.28(b))
I hereby declare that all statements made herein of my own knowledge are
true and that all statements made on information and belief are believed
to be true; and further that these statements were made with the knowledge
that willful false statements and the like so made are punishable by fine
or imprisonment, or both, under section 1001 of Title 18 of the United
States Code, and that such willful false statements may jeopardize the
validity of the application, any patent issuing thereon, or any patent to
which this verified statement is directed.
DAVID L. ENTIN
Assistant Dean For  NAME OF PERSON SIGNING  Research Administration
TITLE IN ORGANIZATION
ADDRESS OF PERSON SIGNING 55 Lake Avenue, North Worcester, MA 01655
SIGNATURE A CHUIX. EAST. DATE 5.7.92